Capital Wealth, LLC 1850 W. Ashton Blvd Suite 175 Lehi, UT 84043 (801) 210.2800

# Brent C. Thompson

Relationship Advisor/Investment Advisor Representative

Individual CRD No. 6124536

Form ADV Part 2B - Brochure Supplement

Effective: March 15, 2025

This Form ADV Part 2B ("Brochure Supplement") provides information about the background and qualifications of Brent C. Thompson as a supplement to the information contained in Capital Wealth, LLC's (referred to as "we," "our," "us," "Firm," "Advisor," or "CW") Form ADV Part 2A Disclosure Brochure. You should have received a copy of that Disclosure Brochure. If you have not received a copy of the Disclosure Brochure or if you have any questions about the contents of CW's Disclosure Brochure or this Brochure Supplement, please contact CW at (801) 210.2800.

Additional information about Mr. Thompson is available on the SEC's Investment Adviser Public Disclosure website at <a href="www.adviserinfo.sec.gov">www.adviserinfo.sec.gov</a>. The site is searchable by a unique identifying number known as a CRD number. Mr. Thompson's CRD number is 6124536.

#### **Item 2: Educational Background and Business Experience**

#### EDUCATIONAL BACKGROUND

Brent C. Thompson, born in 1982, is dedicated to advising clients of Capital Wealth, LLC as a Relationship Advisor/Investment Advisor Representative. Mr. Thompson earned his Bachelor of Business Administration with Finance emphasis degree from Colorado Mesa University in 2012. Additional information regarding Mr. Thompson's business background is included below.

#### BUSINESS BACKGROUND

08/2023 - Present Capital Wealth, LLC Relationship Advisor/Investment Advisor

Representative

08/2013 - 08/2023 Fidelity Investments Workplace Planning Consultant

#### PROFESSIONAL DESIGNATIONS

# CERTIFIED FINANCIAL PLANNER ("CFP")

The program is administered by the Certified Financial Planner Board of Standards Inc. Those with the CFP® designation have demonstrated competency in all areas of finance related to financial planning. Candidates must complete studies on over 100 topics, including stocks, bonds, taxes, insurance, retirement planning and estate planning. In addition to passing the CFP® certification exam, candidates must also complete qualifying work experience, agree to adhere to the CFP® Board's code of ethics and professional responsibility and financial planning standards and complete 30 hours of continuing education every two years.

# CHARTERED RETIREMENT PLANNING COUNSELOR (CRPC TM)

The Chartered Retirement Planning Counselor (CRPC<sup>™</sup>) designation is a retirement planning credential awarded by the College for Financial Planning<sup>®</sup> to individuals who meet its educational, examination and ethical requirements. To earn a CRPC<sup>™</sup> designation, candidates are required to have completed the CRPC<sup>™</sup> Designation Program, a self-taught educational program focused on various pre- and post- retirement needs of individuals. Recipients are further required to have successfully passed a multiple-choice examination addressing a range of retirement-related matters, such as estate planning and asset management. On an ongoing basis, CRPC<sup>™</sup> designees are also required to affirm their adherence to the applicable Code of Ethics and complete at least 16 hours of continuing education every two years. More information about the CRPC<sup>TM</sup> is available at https://www.cffp.edu/

# **Item 3: Disciplinary Information**

There are no legal, civil, or disciplinary events to disclose regarding Mr. Thompson. Mr. Thompson has never been involved in any investment related regulatory, civil, or criminal action. There have been no client complaints, lawsuits, arbitration claims or administrative proceedings against Mr. Thompson.

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair, or unethical practices. As previously noted, there are no legal, civil or disciplinary events to disclose regarding Mr. Thompson.

However, we do encourage you to independently view the background of Mr. Thompson on the Investment Adviser Public Disclosure website at <a href="www.adviserinfo.sec.gov">www.adviserinfo.sec.gov</a> by searching with his full name or his Individual CRD No. 6124536.

#### **Item 4: Other Business Activities**

Brent C. Thompson is licensed to sell life, accident, sickness, and health and may engage in product sales with clients, for which he will receive additional compensation. These services are offered through CWA Insurance Services, LLC, where Mr. Thompson provides insurance services as an insurance agent. Any commissions received through the sales of insurance policies do not offset advisory fees the client may pay for advisory services provided by CWA. Clients are not required to purchase insurance products from Mr. Thompson and may seek similar services elsewhere. This is an investment related activity. Mr. Thompson spends about 50% of his time per month on this activity.

# **Item 5: Additional Compensation**

Brent Thompson may receive economic benefit from any person, company, or organization, in exchange for providing clients advisory services through CW. In addition to advisory fees, IARs may qualify for certain sales incentives or other types of awards based on the value of assets under management or investment products and services sold. For example, IARs may become eligible to receive additional compensation amounts, reimbursement for approved business expenses, and attendance at various forms of entertainment or attendance at events and educational conferences hosted or subsidized by the sponsors of certain investment products or third-party asset manager programs. Mr. Thompson may receive commission from sales of insurance products generated as an insurance agent through CWA Insurance Services, LLC.

#### **Item 6: Supervision**

Supervision of Mr. Thompson is performed by Mike Stevens in his capacity as the Chief Compliance Officer of Capital Wealth, LLC. Capital Wealth, LLC has implemented a policies and procedures manual and Code of Ethics which guides the Firm and its supervised persons in meeting their fiduciary obligations to Capital Wealth's clients when providing investment advisory services. As Capital Wealth's Chief Compliance Officer, Mr. Stevens is responsible for the implementation of the Firm's policies and procedures and Code of Ethics. Mr. Stevens may be contacted at (801) 210.2800 for more information about this Brochure Supplement.

# **Item 7: Requirements for State Registered Advisors**

This disclosure is required by state securities authorities and is provided for your use in evaluating this investment advisor representative's suitability.

- A. Brent C. Thompson has NOT been involved in any of the events listed below.
  - 1. An award or otherwise being found liable in an arbitration claim alleging damages more than \$2,500, involving any of the following:
    - a) an investment or an investment-related business or activity;
    - b) fraud, false statement(s), or omissions;
    - c) theft, embezzlement, or other wrongful taking of property;
    - d) bribery, forgery, counterfeiting, or extortion; or
    - e) dishonest, unfair, or unethical practices.

- 2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
  - a) an investment or an investment-related business or activity;
  - b) fraud, false statement(s), or omissions;
  - c) theft, embezzlement, or other wrongful taking of property;
  - d) bribery, forgery, counterfeiting, or extortion; or
  - e) dishonest, unfair, or unethical practices.
- B. Brent C. Thompson has NOT been the subject of a bankruptcy petition.