

**Capital Wealth, LLC**  
**1850 W. Ashton Blvd Suite 175**  
**Lehi, UT 84043**  
**(801) 210.2800**

***Chad B. Austin***

Lead Advisor/Investment Advisor Representative

Individual CRD No. 7672649

**Form ADV Part 2B – Brochure Supplement**

Effective: 3/11/2025

This Form ADV Part 2B (“Brochure Supplement”) provides information about the background and qualifications of Chad B. Austin as a supplement to the information contained in Capital Wealth, LLC’s (referred to as “we,” “our,” “us,” “Firm,” “Advisor,” or “CW”) Form ADV Part 2A Disclosure Brochure. You should have received a copy of that Disclosure Brochure. If you have not received a copy of the Disclosure Brochure or if you have any questions about the contents of CW’s Disclosure Brochure or this Brochure Supplement, please contact CW at (801) 210.2800.

Additional information about Mr. Austin is available on the SEC’s Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). The site is searchable by a unique identifying number known as a CRD number. Mr. Austin’s CRD number is 7672649.

## **Item 2: Educational Background and Business Experience**

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### EDUCATIONAL BACKGROUND

Chad B. Austin, born in 1998, is dedicated to advising clients of Capital Wealth, LLC as Lead Advisor/Investment Advisor Representative. Mr. Austin is currently attending Western Governors University with an anticipated graduation of December 2026. Additional information regarding Mr. Austin's business background is included below.

### BUSINESS BACKGROUND

02/2025 - Present	Capital Wealth, LLC	Lead Advisor/Investment Advisor Representative
11/2022 - 01/2025	Fidelity Investments	Workplace Planner
02/2022 - 11/2022	Wells Fargo Bank NA	Personal Banker

## **Item 3: Disciplinary Information**

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**There are no legal, civil, or disciplinary events to disclose regarding Mr. Austin.** Mr. Austin has never been involved in any investment related regulatory, civil, or criminal action. There have been no client complaints, lawsuits, arbitration claims or administrative proceedings against Mr. Stevens.

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair, or unethical practices. **As previously noted, there are no legal, civil or disciplinary events to disclose regarding Mr. Austin.**

However, we do encourage you to independently view the background of Mr. Austin on the Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching with his full name or his Individual CRD No. 7672649.

## **Item 4: Other Business Activities**

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Chad B. Austin is licensed to sell life, accident, sickness, and health and may engage in product sales with clients, for which he will receive additional compensation. These services are offered through CWA Insurance Services, LLC, where Mr. Austin provides insurance services as an insurance agent. Any commissions received through the sales of insurance policies do not offset advisory fees the client may pay for advisory services provided by CWA. Clients are not required to purchase insurance products from Mr. Austin and may seek similar services elsewhere. This is an investment related activity. Mr. Austin spends about 50% of his time per month on this activity.

## **Item 5: Additional Compensation**

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Chad B. Austin may receive economic benefit from any person, company, or organization, in exchange for providing clients advisory services through CW. In addition to advisory fees, IARs may qualify for certain sales incentives or other types of awards based on the value of assets under management or investment products and services sold. For example, IARs may become eligible to receive additional compensation

amounts, reimbursement for approved business expenses, and attendance at various forms of entertainment or attendance at events and educational conferences hosted or subsidized by the sponsors of certain investment products or third-party asset manager programs. Mr. Austin may receive commission from sales of insurance products generated as an insurance agent through CWA Insurance Services, LLC.

### **Item 6: Supervision**

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Supervision of Mr. Austin is performed by Mike Stevens in his capacity as the Chief Compliance Officer of Capital Wealth, LLC. Capital Wealth, LLC has implemented a policies and procedures manual and Code of Ethics which guides the Firm and its supervised persons in meeting their fiduciary obligations to Capital Wealth's clients when providing investment advisory services. As Capital Wealth's Chief Compliance Officer, Mr. Stevens is responsible for the implementation of the Firm's policies and procedures and Code of Ethics. Mr. Stevens may be contacted at (801) 210.2800 for more information about this Brochure Supplement.

### **Item 7: Requirements for State Registered Advisors**

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This disclosure is required by state securities authorities and is provided for your use in evaluating this investment advisor representative's suitability.

A. Chad B. Austin has NOT been involved in any of the events listed below.

1. An award or otherwise being found liable in an arbitration claim alleging damages more than \$2,500, involving any of the following:

- a) an investment or an investment-related business or activity;
- b) fraud, false statement(s), or omissions;
- c) theft, embezzlement, or other wrongful taking of property;
- d) bribery, forgery, counterfeiting, or extortion; or
- e) dishonest, unfair, or unethical practices.

2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:

- a) an investment or an investment-related business or activity;
- b) fraud, false statement(s), or omissions;
- c) theft, embezzlement, or other wrongful taking of property;
- d) bribery, forgery, counterfeiting, or extortion; or
- e) dishonest, unfair, or unethical practices.

B. Chad B. Austin has NOT been the subject of a bankruptcy petition.